

Special Committee Report

Zoom Meeting held on Tuesday, March 25, 2025 at 8 pm CT

Attendees: Drs. Hetal Gor, Narendra Maheshwari, Syamala Erramilli, Amit Chakrabarty, Sunil Kaza

AAPI office staff: Ms. Vijaya Kodali

Subject: Internal Audit and Focus Review on concerns raised by Dr. Shirish Patel, AAPI Treasurer 2024-25 and previous Covid Committee chair

Committee Formation: The special committee was constituted by Dr. Sunil Kaza as follows:

- **Dr. Hetal Gor** (Chair)
- **Dr. Narendra Maheshwari**
- **Dr. Syamala Erramilli**
- **Dr. Amit Chakrabarty**

Observers:

- Dr. Satheesh Kathula
- Dr. Sunil Kaza

Standby:

- Dr. Sudhakar Jonnalagadda
- Dr. Anupama Gotimukula

Objective: To address and investigate the questions raised by Dr. Shirish Patel, the Treasurer of AAPI 2024-25, regarding four specific financial transactions.

Bank Accounts Designated:

- Account ending with 5353 – Covid Fund Account
- Account ending with 6069 – Atlanta Convention Account
- Account ending with 2868 – San Antonio Convention Account

History: Account ending with 5353 was opened on 11/15/2019 and designated as “Chase Benefactor Fund Account.” Later in April 2021, this account was converted to “Covid-19 Oxygen fundraising account” and continued to be the same until the account was frozen in August 2024.

Summary of Transactions Reviewed:

1. Transaction of \$25,000:

- Paid by Rigel Pharmaceuticals towards the 2021 Atlanta Convention.
- Rigel Pharmaceuticals wired the 2021 Atlanta convention sponsorship funds to the account ending with 5353.

- Incorrectly wired to the account ending with 5353 (Oxygen (Covid) Account) on 6/3/2021.
 - Later rightfully transferred to the Atlanta Convention Account on the same day 6/3/2021.
 - Verified evidence of deposit and transfer.
 - Committee members on the Zoom meeting unanimously agreed on the validity and appropriateness of the transaction.
- 2. Transaction of \$2,500 (VIP Package):**
- Dr. Arvind Gupta's check #1025 for the VIP package was wrongly deposited in the Covid account (5353) on 5/26/2021 and later corrected by transferring it back to the Atlanta Convention account on 6/9/2021.
 - Incorrectly deposited into the Oxygen (Covid) Account.
 - Later rightfully transferred to the Atlanta Convention Account.
 - Emails and the memo on the check indicated it was for a VIP package.
 - The transaction was handled diligently and in a timely manner.
 - Committee members on the Zoom meeting unanimously agreed on the validity and appropriateness of the transaction.
- 3. Transaction of \$10,000:**
- On 7/12/2021, Subbu Kota's charitable donation intended for the convention was wrongly deposited in the Covid account (5353).
 - On 7/19/2021, these funds were transferred to the San Antonio convention account ending with 2868.
 - On 7/19/2021, these funds were again transferred to the Atlanta convention account ending with 6069.
 - Incorrectly deposited into the Oxygen (Covid) Account.
 - As per donor memo, these funds were allocated to the Atlanta Convention Account.
 - Mistakenly transferred to the San Antonio Account instead of the Atlanta Convention Account.
 - Transferred from San Antonio Account to Atlanta Convention Account.
 - Evidence of deposit and correction was presented.
 - Committee confirmed the appropriate rectification of the transfer.
- 4. Transaction of \$2,500 (VIP Package):**
- Dr. Somayaji Ramamurthy's convention check was wrongly deposited in the Covid account (5353) on 8/17/2021 and later corrected by transferring it back to the San Antonio Convention account on 8/23/2021.
 - Incorrectly deposited into the Covid Account.
 - Transferred to the appropriate San Antonio Convention Account.
 - Verified evidence and process compliance.

- Committee confirmed the appropriate rectification of the transfer.

Conclusion: The committee thoroughly examined each of the four questioned transactions. Detailed explanations and supporting evidence were provided by the AAPI office. All committee members unanimously agreed that there was no misappropriation in any of the transactions. Each transaction was handled in a timely and diligent manner.

Acknowledgment: Special commendation is given to Ms. Kodali for maintaining proper accounting practices and ensuring meticulous record-keeping.

Final Recommendation: The Special Committee, led by Chairperson Dr. Hetal Gor, recommends that the Executive Committee review this report promptly. The report is to be presented to the Governing Body scheduled for March 29th, 2025, in Nashville, TN.

Respectfully Submitted,

Dr. Hetal Gor

Chair, Special Committee

Attachment: Document prepared by the AAPI office

\$25,000

06/03/2021: Rigel Pharmaceuticals paid \$25,000 towards 2021 Convention. Rigel Pharma has 5353 account details and they keep transferring convention sponsorship to ...5353 account.

CREDITED RIGEL PHARMA CONVENTION SPONSORSHIP TO 5353 ACCOUNT

06/03	Orig CO Name:Rigel Pharmaceut	Orig ID:9943248524	Desc Date:	CO Entry	25,000.00
	Descr:Payinvoicesec:CTX	Trace#:121140391191068	Eed:210603	Ind ID:5454	
	Ind Name:0006American Associa				
	Direct Deposit Trn: 1541191068Tc				

DEBIT to 5353 and CREDIT to 6069 as this is Convention Sponsorship.

DATE	DESCRIPTION	AMOUNT
06/03	06/03 Online Transfer To Chk ...6069 Transaction#: 11906954556	\$25,000.00

3/19/25, 9:42 AM

American Assoc of Physicians of Indian Origin Mail - Rigel check



Vijaya Kodali <vkodali@aapiusa.org>

Rigel check

2 messages

Satish Kathula <kathulask@yahoo.com>

Sun, Jun 20, 2021 at 10:55 PM

To: Vijaya Kodali <vkodali@aapiusa.org>, Sudhakar Jonnalagadda <jonnalagaddasudhakar1@gmail.com>

Hi Vijaya,

Did you deposit the check of \$25,000 from Rigel in the convention account yet?

Thank you!

Best,

Satheesh Kathula, MD, FACP
Clinical Professor of Medicine

Vijaya Kodali <vkodali@aapiusa.org>

Mon, Jun 21, 2021 at 5:46 AM

To: Satish Kathula <kathulask@yahoo.com>

Cc: Sudhakar Jonnalagadda <jonnalagaddasudhakar1@gmail.com>

Dr. Kathula,

The Rigel wired the money to India covid account.

I transferred the funds from that account to convention account same day.

[Quoted text hidden]

--

Best Regards,

Vijaya Kodali

Director, Operations

American Association of Physicians of Indian Origin

600 Enterprise Drive, Suite 108, Oak Brook, IL 60523

P: 630-990-2277 | F: 630-990-2281 | C: 630-670-8012

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3/19/25, 9:44 AM

American Assoc of Physicians of Indian Origin Mail - Rigel Sponsorship - due Dates for assets submission?

Satish Kathula <kathulask@yahoo.com>

Tue, Jun 8, 2021 at 7:42 PM

To: Sreeni Gangasani <sreenigmd@gmail.com>

Cc: Sudhakar Jonnalagadda <jonnalagaddasudhakar1@gmail.com>, Vijaya Kodali <vkodali@aapiusa.org>, Hameant Raghbir <info@shivpriyaevents.com>

Hi Sreeni,

They wanted product theater with at least 100 people and preferably 10 Hemoncs and a booth for 25k. Thank you!

Best,

Satheesh Kathula, MD, FACP
Clinical Professor of Medicine
Treasurer, AAPI

On Jun 8, 2021, at 8:33 PM, Sreeni Gangasani <sreenigmd@gmail.com> wrote:

Hi Satish,

[Quoted text hidden]

Michael Bardol <mbardol@rigel.com>

Wed, Jun 9, 2021 at 8:02 AM

To: Vijaya Kodali <vkodali@aapiusa.org>

1. Do we have a room name/location solidified for the talk on July 3? I would like to put that in our email blast invitation as well as I need to inform the speaker of where to go.
2. Can you confirm the time? I have 8:00 a.m. on July 3.

Also, this is during breakfast – I have the understanding we do not need to provide food and/or any AV set up. Is that correct?

Thank you,

Mike

From: Vijaya Kodali <vkodali@aapiusa.org>

Sent: Monday, May 24, 2021 12:53 PM

To: Michael Bardol <mbardol@rigel.com>

Subject: Re: Rigel Sponsorship - due Dates for assets submission?

- External Sender -

Hi Michael,

[Quoted text hidden]

[Quoted text hidden]

Hameant Raghbir <info@shivpriyaevents.com>

Wed, Jun 9, 2021 at 2:09 PM

3/19/25, 9:44 AM

American Assoc of Physicians of Indian Origin Mail - Rigel Sponsorship - due Dates for assets submission?

To: mbardol@rigel.com

Cc: Vijaya Kodali <vkodali@aapiusa.org>, Sreeni Gangasani <sreenigmd@gmail.com>, Satish Kathula <kathulask@yahoo.com>, Sudhakar Jonnalagadda <jonnalagaddasudhakar1@gmail.com>

Good Afternoon Mr. Bardol,

I wanted to confirm the following for the AAPI Convention at Omni Atlanta at CNN Center.
Your presentation is scheduled on Saturday July 4, 2024 during physicians breakfast
Time -8:00 am - 8 :45 am
Location- International Ballroom D located on Level M-2

You will have one booth in the Exhibition Hall next door in International Ballroom EF
2 Convention registration (please provide names of attendees)
One Full page ad for our souvenir , sent to Info@shivpriyaevents.com

Souvenir Specification :

Full page

Bleed Limit- 8.75" x11.25"

(1/8" bleed on 8.5" x11")

Trim Size-8.5" x 11"

Format:

300 dpi

CMYK, In design , Photoshop, press quality PDF,

PDF files at 300 dpi with all fonts converted to vector outline curves.

Please do not hesitate to contact me if you require any assistance.

Thank You

Hameant Kumar Raghbir

ShivPriya Events

Event Management, Meeting Planning

Wedding Planning, Coordinating & Decor

Event Manager AAPI Convention

2015,2016, 2018, 2019, 2021

Event Manager NAVIKA Convention Cincinnati 2019

Event Manager Gujarati Physician Convention 2019

Event Manager AKMG Convention 2021

ShivPriya Events.com

407-473-8852

On Jun 8, 2021, at 2:44 PM, Sudhakar Jonnalagadda <jonnalagaddasudhakar1@gmail.com> wrote:

Hi Srinu and Hameant; Pl respond to the Rigel Rep

[Quoted text hidden]

\$2,500

05/26/2021: Dr. Arvind Gupta check # 1025 wrongly deposited in Oxygen account # ..5353. (part of \$29,400 deposit). That is a VIP package.

05/26 Deposit 1968058532 29,400.00		
Name	Check #	Amount
Greg and Mickele Kozar	5939289	\$3,000
John Devasia	11076202	\$2,000
Ramamani Rajakumar	11081729	\$500
Mohinder Sharma	11080889	\$50
Atlantic India Association	2420	\$10,000
Arvind Gupta	1025	\$2,500
David & Sylvia Tettelbaum	1252	\$1,000
Thomas Buss	2387	\$100
Pramodini Deshpande	309	\$200
Mitra Chandra	1728	\$50
Cashier Check	04602897	\$10,000
TOTAL		\$29,400

6/9/2021: These funds were transferred back to AAPI Convention account 6069.

06/09 06/09 Online Transfer To Chk ...6069 Transaction#: 11942704634 2,500.00		
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Upon request, Dr. Arvind Gupta was kind enough to send an email with the counterfoil of the check he has written for 2021 Atlanta Convention.

Check # 1025.

It says For : **CME & Convention Room & Meals, Comprehensive package**

3/19/25, 7:10 PM

American Assoc of Physicians of Indian Origin Mail - 2021 AAPI Atlanta conference comprehensive Package Payment.



Vijaya Kodali <vkodali@aapiusa.org>

2021 AAPI Atlanta conference comprehensive Package Payment.

Arvind Gupta <aaagupta1955@gmail.com>
To: vkodali@aapiusa.org

Wed, Mar 19, 2025 at 4:58 PM

Sent from my iPhone



IMG_4716.HEIC
31K

1025

DATE <u>5-16-21</u>		BALANCE FORWARD	
TO <u>AAPI</u>		DEPOSITS	
FOR <u>CME</u>			
<u>Convention</u>			
<u>room & meal</u>	SUB TOTAL		
<u>Comprehensive pkg</u>	THIS CHECK <u>2500.00</u>		
	OTHER TRANSACTION + OR -		
	BANK BALANCE		

TAX DEDUCTIBLE

\$10,000

7/12/2021 - Subbu Kota Schwab Charitable check was deposited in ...5353 account. As per donor, those are for convention donation.

7/19/2021 - Subbu Kota - These Funds are transferred back initially to account # ..2868 and then to account # 6069

3/19/25, 9:28 AM

American Assoc of Physicians of Indian Origin Mail - Donation-Subu Kota.pdf



Vijaya Kodali <vkodali@aapiusa.org>

Donation-Subu Kota.pdf

Sudhakar Jonnalagadda <jonnalagaddasudhakar1@gmail.com>

Wed, Jul 14, 2021 at 6:39 AM

To: Kodali aapi <vkodali@aapiusa.org>

Cc: Pulipaka Rao <pulipakarao9950@gmail.com>, FACC Sreeni Gangasani <sreenigmd@gmail.com>

GM Vijaya ;

Please deposit 10 K from Mr. Subbu Kota family foundation to Convention account .

Regards

Sudhakar Jonnalagadda

President 2020-21

Sent from my iPhone

Begin forwarded message:

From: Sudhakar Jonnalagadda <jonnalagaddasudhakar1@gmail.com>

Date: July 13, 2021 at 9:24:53 PM EDT

To: Kodali aapi <vkodali@aapiusa.org>

Subject: Donation-Subu Kota.pdf

Sent from my iPhone

 **Donation-Subu Kota.pdf**
198K



AMERICAN ASSOCIATION OF PHYSICIANS OF INDIA ORGIN
GIFT PROCESSING
C/O VIJAYA KODALI
600 ENTERPRISE DR SUITE 108
OAK BROOK, IL 60523

June 30, 2021

GRANT ENCLOSED *We are pleased to present American Association Of Physicians Of India Origin with the enclosed grant in the amount of \$10,000.00 made on behalf of Kota Foundation at Schwab Charitable™.*

Grant Amount	\$10,000.00
Acknowledgment	Subu Kota
Address	The donor selected not to include their address with this grant.
Grant Designation	This grant is for wherever it is needed most.

If you have any questions regarding this grant, please visit www.schwabcharitable.org/charities. We wish you success with your charitable goals.

NOTE TO THE CHARITY:

- **By accepting this grant, your organization certifies to Schwab Charitable that:**
 - No donor or individual related to the donor will receive any goods, services or other more than incidental private benefits. Examples may include but are not limited to: If the grant is for an event or gala, it does not pay for tickets or any goods purchased at auction. If the grant is for a membership, the membership must be considered 100% tax deductible. If the grant is to support missionary work, your organization will have full control and discretion regarding its use and application. If the grant is for a scholarship, the donor does not have control over the distribution of funds. If the grant is to a school or educational fund, it does not pay for the tuition of the donor or any related individual nor for preferential tickets, seating or access to events.
 - The grant will not be used to fulfill a pre-existing legally binding pledge.
 - If grantee is a government entity, the grant funds can be used solely for public purposes as that term is used in Section 170(c)(1) of the Internal Revenue Code.
- Your organization should not provide the donor a tax receipt for this grant, as Schwab Charitable has already provided the donor with a tax receipt at the time of contribution. Please do not send thank you notes or marketing materials to Schwab Charitable.
- Grants and account names are recommendations of the donor and do not reflect the views of Schwab Charitable, Charles Schwab & Co. Inc. or any of its affiliates.

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3/19/25, 9:33 AM

American Assoc of Physicians of Indian Origin Mail - Fwd: The Boston Group Ad



Vijaya Kodali <vkodali@aapiusa.org>

Fwd: The Boston Group Ad

Sudhakar Jonnalagadda <jonnalagaddasudhakar1@gmail.com>
To: Hemant Yagnick <dryagnick@hotmail.com>
Cc: Hameant Raghbir <info@shivpriyaevents.com>, Kodali aapi <vkodali@aapiusa.org>

Sent from my iPhone

Begin forwarded message:

From: Savitha <savitha@thebostongroup.com>
Date: June 26, 2021 at 8:02:16 AM EDT
To: aapiads1@gmail.com, jonnalagaddasudhakar1@gmail.com
Cc: Subu Kola <skola@thebostongroup.com>
Subject: The Boston Group Ad

Hello AAPI team,

As requested, please find attached is the ad from The Boston Group to be published in your brochure. Please let me know if you have any questions.

Thank you
Savitha Naidu



Savitha Naidu

M (678) 727-6927
P (508) 363-9180
E savitha@thebostongroup.com
The Boston Group
21 Southwest Cliff
Northboro, MA 01532
www.thebostongroup.com



\$2,500

8/17/2021 - Dr. Somayaji Ramamurthy Convention check #11292354 for \$2,500 wrongly deposited in ...5353.

8/23/2021 - Dr. Somayaji Ramamurthy Convention Funds transferred back to account ..2868.

3/19/25, 8:57 AM

American Assoc of Physicians of Indian Origin Mail - convention 2022



Vijaya Kodali <vkodali@aapiusa.org>

convention 2022

Somayaji Ramamurthy <somayaji@aol.com>
Reply-To: Somayaji Ramamurthy <somayaji@aol.com>
To: "vkodali@aapiusa.org" <vkodali@aapiusa.org>

Tue, Aug 17, 2021 at 11:26 PM

Grant ID:

16077130

Source of Funds:

Contribution dollars

Timing:

ASAP

Use:

2022 AAPI Annual Convention, San Antonio, TX Registration for 2 MDS

Acknowledgment:

Ramamurthy
Somayaji Ramamurthy
22864 Cielo Vista
San Antonio, TX 78255-9504

Allocation:

Balanced: \$2,500.00 (100%)

Charity Information

AMERICAN ASSOCIATION OF PHYSICIANS OF INDIA ORGIN

A A P I

Tax ID:

38-2532505

Charity mailing address:

A A P I

600 ENTERPRISE DR STE 108

OAK BROOK, IL 60523-1978

Charitable sector:

Health

Charity contact: Phone: 6305302277